- 6. (original) A method as claimed in claim 5, where a the etching is performed in a manner which forms a ridge structure in the deposited waveguide
- (currently amended) A method as claimed in any one of the preceding claims claim 1, wherein the method further comprises a step of creating a refractive index variation in the deposited waveguide layer so as to form a non-constant refractive index profile in the waveguide layer.
- 8. (original) A method as claimed in claim 7, where n the step of creating the refractive index variation comprises exposing the deposited waveguide layer to radiation so as to induce refractive index changes in the deposited waveguide layer.
- (currently amended) A method as claimed in <u>claims</u> any one of the proceding claims, wherein the waveguide layer further comprises a dopant material.
- 10. (currently amended) A method as claimed in <u>claim 1 any one of the preceding claims</u>, wherein the deposited waveguide la /er further comprises at least partially-oxidised silicon.
- 11. (currently amended) A method as claimed in <u>claim 1</u> any one of the preceding claims, wherein waveguide layer is deposited by plasma-enhanced chemical vapour deposition (PECVD).
- (currently amended) A method as claimed in <u>claim 1</u> any one of the preceding claims, wherein the step of forming the way equide further comprises forming a taper in an end portion of the deposited way equide layer for facilitating optical coupling to an optical fibre.
- (original) A method as claimed in claim 12, wherein the step of forming waveguide further comprises creating a variation of refractive index of the deposited waveguide layer in the end portion of the waveguide.
- (original) A method as claimed in claim 13, wherein the step of creating the variation of refractive index in the end portion comprises carrying out controlled oxidation of the end portion.

- 15. (original) A method as claimed in claim 14, wherein the controlled oxidation comprises using a laser to heat the deposited waveguice layer.
- 16. √ (crigy y-l) A method as claimed in claim 15, wherein the lases comprises a CO₂ laser.
- 17. (currently amended) A method as claimed in claim 1 env c no of the preceding eleims, wherein the method further comprises a step of forming an optical signal processing element in and integrated with the deposited waveguide layer.
- 18. (original) A method as claimed in claim 17, wherein the processing element comprises a photodetector incorporating a dopant material in the silicon-based waveguide structure.
- 19. (original) A method as claimed in claim 18, wherein the processing element is arranged to be controlled electrically to change its refractive index.
- 20. (original) A method of coupling a silicon-based waveguide to an optical fibre, the method comprising:
- oxidising the silicon-based waveguide in an end portion thereof so as to alter a refractive index of the end portion; wherein the end portion is arranged to facilitate optical coupling of the waveguide to an end of an optical tibre, the oxidation being controlled so as to create a refractive index profile in which the refractive index at an outer end of the end portion matches that of the optical fibre.
- 21. (original) An optical device incorporating a silicon-based waveguide structure for med on a substrate, the device comprising a processing element formed and integrated with the silicon-based waveguide structure, wherein the silicon-based waveguide structure incorporates an amorphous-silicon-based waveguide Layer.
- 22. (new) An optical device as claimed in claim 21, wherein the processing element is ε thermally activated processing element.
- 23. (new An optical device as claimed in claim 21, wherein the amorphous silicon based waveguide layer is formed by chemical vapour deposition (CVD).

- 24. (new) An optical levice as claimed in claim 21, wherein the amorphous silicon based waveguide layer is formed by plasma enhanced chemical vapour deposition (PECVD).
- 25. (new) A method or forming a high-optical-confinement waveguide structure, the method comprising:

forming a silicon based waveguide on a substrate by depositing a waveguide layer comprising amorphous silicon onto the substrate by a process that does not utilise ion bombardment of target material;

wherein the way aguide layer has a refractive index which is greater than a refractive index of the substrate.

DOCKET:

CU-2649

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Michael BAZYLENKO et al.

SERIAL NO:

09/936,775

) Group Art Unit: 2874

FILED:

September 17, 2001

) Examiner: Kevin S. Wood

TITLE:

WAVEGUIDE STRUCTURE AND METHOD OF FORMING THE

WAVEGUIDE STRUCTURE

THE COMMISSIONER FOR PATE N°S P.O. Box 1450 Alexandria, VA 22313-1450

CLEAN CLAIMS

- 1. (currently amended) A method for forming a high-optical-confinement waveguide structure, the method comprising:
- forming a silicon-based waveguide on a substrate by depositing a waveguide layer comprising amorphous silicon onto the substrate by chemical vapour deposition (CVD);

wherein the waveguide layer has a refractive index which is greater than a refractive index of the substrate.

- 2. (original) A method as claimed in claim 1, further comprising a step of depositing a first layer of a first material on a wafer so as to form the substrate prior to depositing the waveguide Layer.
- 3. (original) A method as claim ed in claim 2, wherein the wafer comprises a silicon wafer.
- 4. (currently amended) A method as claimed in claim 2, wherein the first layer is silica-based.
- 5. (currently amended) A method as claimed in claim 1, wherein the step of forming the silicon-based waveguide further comprises etching the deposited waveguide layer.

- (original) A method as claimed in claim 5, wherein the etching is 6. performed in a manner which forms a ridge structure in the deposited waveguide ا برسر layer.
 - 7. (currently amended) A method as claimed in plaim 1, wherein the method further comprises a step of creating a refractive ind x variation in the deposited waveguide layer so as to form a non-constant refrative index profile in the waveguide layer.
 - 8. (original) A method as claimed in claim 7, with the step of creating the refractive index variation comprises exposing the dilposited waveguide layer to radiation so as to induce refractive index changes in the deposited waveguide layer.
 - 9. (currently amended) A method as claimed in claim 1, wherein the waveguide layer further comprises a dopant materi 1.
 - 10. (currently amended) A method as claimed in plaim 1, wherein the
 - 11. (currently amended) A method as claimed in plaim 1, wherein waveguide layer is deposited by plasma-enhanced chemical velocition (PECVD).
 - 12. (currently amended) A method as claimed in claim 1, wherein the step of forming the waveguide further comprises forming ε aper in an end portion of the deposited waveguide layer for facilitating optical co-pling to an optical fibre.
 - 13. (original) A method as claimed in claim 12, wherein the step of forming waveguide further comprises creating a variation o efractive index of the deposited waveguide layer in the end portion of the waveguide.
 - 14. (original) A method as claimed in claim 13, \ nerein the step of creating the variation of refractive index in the end portion comprises carrying out controlled oxidation of the end portion.
 - 15. (original) A method as claimed in claim 14, \ nerein the controlled oxidation comprises using a laser to heat the depo ted waveguide layer.
 - (original) A method as claimed in claim 15, vinerein the laser comprises a 16. CO₂ laser.

deposited waveguide layer further comprises at least partially-oxidised silicon.

17. (rrently amended) A method as claimed in claim 1, ν	erein the method
further	mprises a step of forming an optical signal processi) element in and
integra	with the deposited waveguide layer.	e de la companya de l
	iginal) A method as claimed in claim 17, wherein the	•
elemen	omprises a photodetector incorporating a dopant m	erial in the silicon-
based v	veguide structure.	
19. (iginal) A method as claimed in claim 18, wherein the	processing
elemen	3 arranged to be controlled electrically to change its	sfractive index.
20. (iginal) A method of coupling a silicon-based wavegu	e to an optical
fibre, th	method comprising:	
-	xidising the silicon-based waveguide in an end porti	thereof so as to
alter a :	ractive index of the end portion; wherein the end $\ensuremath{\text{pc}}$	o n is arranged to
facilitat	optical coupling of the waveguide to an end of an op-	al fibre, the
oxidati c	being controlled so as to create a refractive index \boldsymbol{p}	file in which the
refracti [,]	index at an outer end of the end portion matches th	of the optical
fibre.		
21. (iginal) An optical device incorporating a silicon-base	waveguide
structu	formed on a substrate, the device comprising a pro-	ssing element
formed	${\rm nd}$ integrated with the silicon-based waveguide ${\rm stru}\varepsilon$	ire, wherein the
silicon-	sed waveguide structure incorporates an amorphou	silicon-based
wavegu	e layer.	
22. (·	w) An optical device as claimed in claim 21, wherei	the processing
elemen	s a thermally activated processing element.	
23. (ew) An optical device as claimed in claim 21, wherei	the amorphous
silicon :	sed waveguide layer is formed by chemical vapour	position (CVD).
24. (w) An optical device as claimed in claim 21, wherei	the amorphous
silicon t	sed waveguide layer is formed by plasma enhanced	hemical vapour
deposit	ı (PECVD).	
25. (w) A method for forming a high-optical-confinemen	vaveguide
structur	the method comprising:	

formir a silicon-based waveguide on a substrate by depositing a waveguide is er comprising amorphous silicon onto the substrate by a process that does no utilise ion bombardment of target material;

where in the waveguide layer has a refractive index which is creater than a refractive incox of the substrate.

Received from < 312 427 6663 > at 8/8/03 (:29 PM [Eastern Daylight Time]